

SEDAR+ UPDATES

December 6, 2023





WELCOME!

A few items before we begin...





AGENDA

- Maintaining profile information
- Continuous Disclosure email alert subscription
- SEDAR+ updates
- Help Centre and Learning Centre updates
- What's next
- CSA Service Desk update
- Q&A



MAINTAINING PROFILE INFORMATION

Leslie Byberg
Executive Director, Ontario Securities Commission



UPDATING PROFILES AND THE NECESSITY TO DO SO

Why it is strongly encouraged for SEDAR+ self-filers, investment fund managers and filing agents to review the issuer or investment fund profiles they manage in SEDAR+

Ensuring specific profile fields have the correct information before submitting a filing can help:

- Avoid issues such as incorrect fee calculations
- Help ensure compliance with National Instrument 13-103 System for Electronic Data Analysis and Retrieval + (SEDAR+).



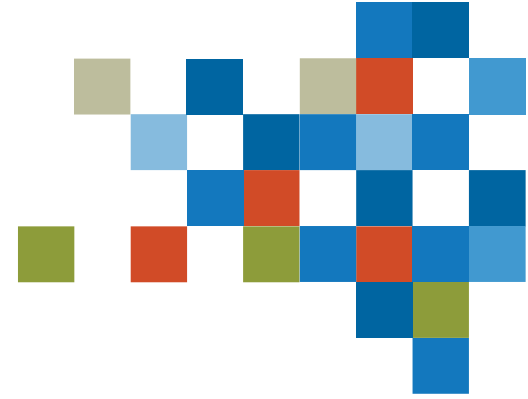
INVESTMENT FUND PROFILES

Name of Issuer Profile Field	Description
Full legal investment fund name in English and/or French	Name is displayed on the reporting issuer list.
Financial year end	Used to calculate deadlines and late fees.
Category and Type of investment fund	Used to calculate deadlines and fees.
Contact details	Correct contact details are crucial to ensure the CSA and its members can communicate with issuers.
Listed on an exchange or other marketplace	Used to calculate fees.



COMPANY PROFILES

Name of Issuer Profile Field	Description
Full legal name in English (and French)	Name is displayed on the reporting issuer list.
Financial year end	Used to calculate deadlines and late fees.
Contact details	Correct contact details are crucial to ensure the CSA and its members can communicate with issuers.
Profile details	Correct profile details are crucial to ensure the CSA and its members can communicate with issuers.
Listed on an exchange or other marketplace	Used to calculate fees.
NAICS	This information is used by regulators for data analysis.
Exchangeable Security or Credit Support issuer	This information is used to calculate fees.



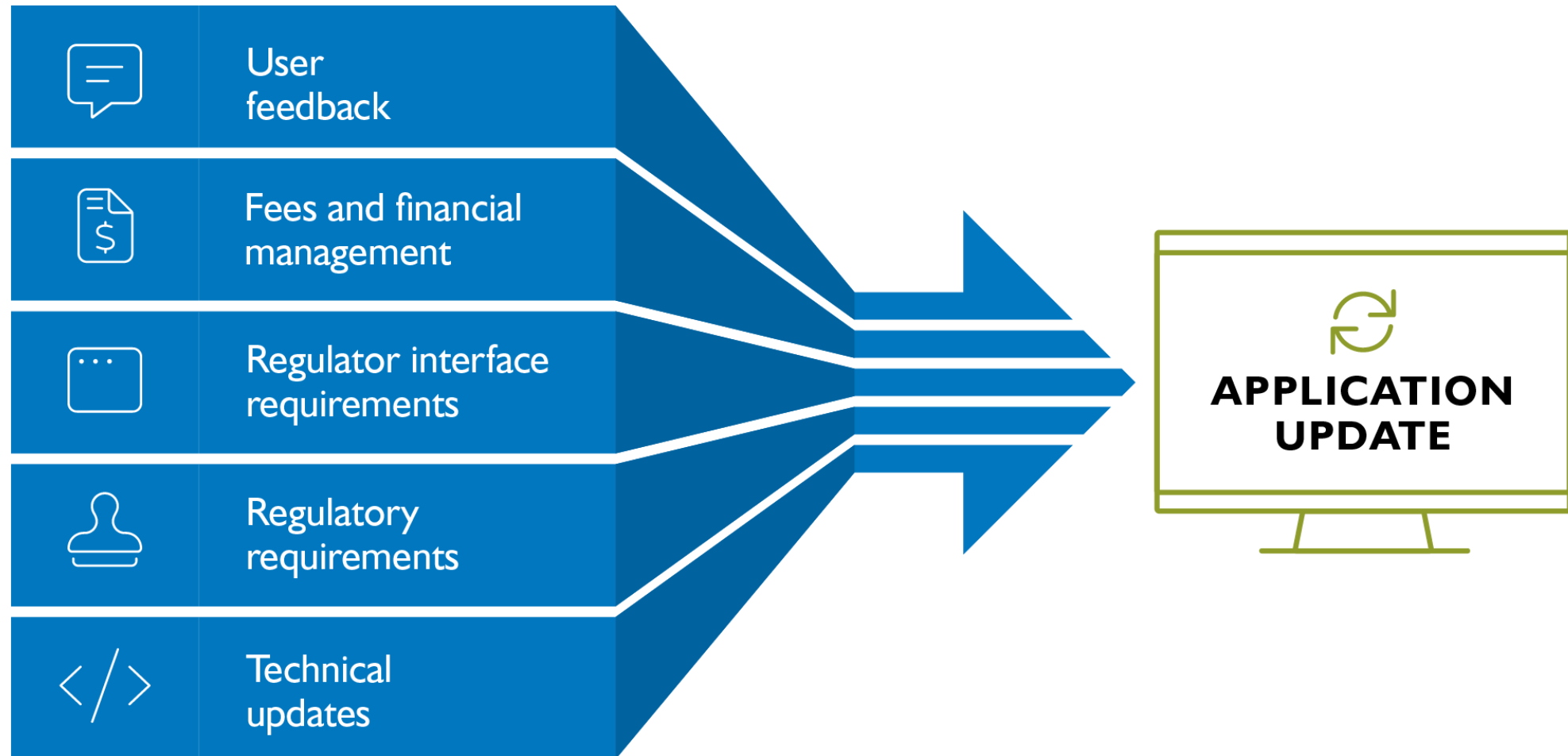
QUESTIONS?



SEDAR+ UPDATES

Helen Walsh
Manager, Projects and Planning, CSA IT Systems Office

UPDATE PROCESS





SEARCH FEATURE UPDATES

- Select how many search results are seen on a page
- Receive search results that are ordered from most recent to least recent, by default
- Use new search filters (e.g., search by stock symbol)
- Save a link to an issuer's profile and documents
- Benefit from additional improvements that will simplify the search experience, such as updating explanatory text, field labels and search options
- For public users, download up to 30 documents from search results page
- For public users viewing a profile, filings tab has been removed

SEARCH DEMO

Search

[Print](#)

[Profiles](#) [Filings](#) [Documents](#) [Disciplined list](#) [Cease trade orders](#)

Search and download documents

Search operator

Contains

Select profile(s) to search on

Profile name or number

[Add another](#)

Filing number

Search by filing number

Filing category

-- Please select --

Filing type

-- Please select --

Document type

-- Please select --

Date

From date
DD/MM/YYYY

To date
DD/MM/YYYY

[Show advanced search](#)

Search

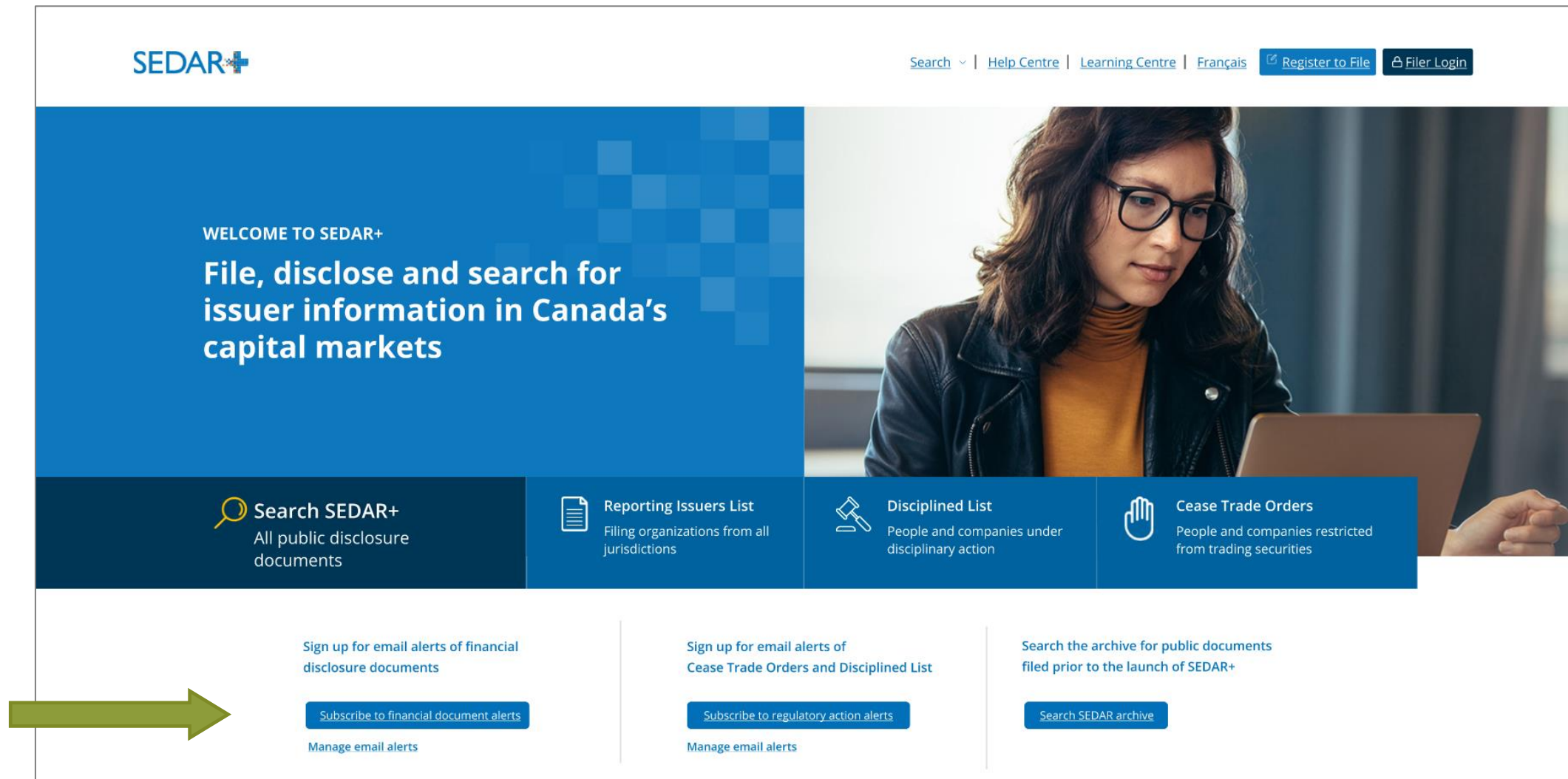
[Clear search criteria](#)



FINANCIAL DISCLOSURE EMAIL SUBSCRIPTION

- Modernizing the way documents are made available to investors
- Anyone can subscribe to certain financial disclosure documents for up to 50 companies:
 - Annual financial statements
 - Interim financial reports
 - Related MD&A (Management Discussion & Analysis)
- Email alerts are sent each day at 8 p.m. ET, with links to any new documents for selected companies

FINANCIAL DISCLOSURE EMAIL ALERTS



The screenshot shows the SEDAR+ website homepage. At the top, the SEDAR+ logo is on the left, and navigation links for Search, Help Centre, Learning Centre, Français, Register to File, and Filer Login are on the right. The main banner features the text 'WELCOME TO SEDAR+' and 'File, disclose and search for issuer information in Canada's capital markets'. Below the banner is a row of four service tiles: 'Search SEDAR+' (All public disclosure documents), 'Reporting Issuers List' (Filing organizations from all jurisdictions), 'Disciplined List' (People and companies under disciplinary action), and 'Cease Trade Orders' (People and companies restricted from trading securities). At the bottom, there are three columns of links. The first column, highlighted by a green arrow, contains 'Sign up for email alerts of financial disclosure documents', a 'Subscribe to financial document alerts' button, and a 'Manage email alerts' link. The second column contains 'Sign up for email alerts of Cease Trade Orders and Disciplined List', a 'Subscribe to regulatory action alerts' button, and a 'Manage email alerts' link. The third column contains 'Search the archive for public documents filed prior to the launch of SEDAR+', a 'Search SEDAR archive' button, and no additional link.

SEDAR+

Search | Help Centre | Learning Centre | Français | Register to File | Filer Login

WELCOME TO SEDAR+

File, disclose and search for issuer information in Canada's capital markets

Search SEDAR+
All public disclosure documents

Reporting Issuers List
Filing organizations from all jurisdictions

Disciplined List
People and companies under disciplinary action

Cease Trade Orders
People and companies restricted from trading securities

Sign up for email alerts of financial disclosure documents

[Subscribe to financial document alerts](#)

[Manage email alerts](#)

Sign up for email alerts of Cease Trade Orders and Disciplined List


[Subscribe to regulatory action alerts](#)

[Manage email alerts](#)

Search the archive for public documents filed prior to the launch of SEDAR+

[Search SEDAR archive](#)

Sign up for email alerts

 Print

Personal information


Enter your email address to receive alerts

Email address

A code will be sent to confirm your email address

Confirm my email

 Exit

[Français](#)

Sign up for email alerts

Print

Preferences for email alerts

Search operator

Contains

Search for companies

Profile name or number

[Add another](#)

Financial documents

☐ All documents

☒ Specific documents

By submitting, you consent to receiving periodic email alerts based on

Submit

Specific documents

You can select any or all documents in the list

Please select --


Annual MD&A

Annual financial statements

Interim MD&A

Interim financial statements/report

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FILER USER EXPERIENCE

- Password expiry notifications
 - Passwords expire after 45 days expiry
 - 5 days before it expires – receive reminder email alert
 - 1 days before it expires – receive reminder email alert
- Fee updates
 - Removal of certain fee attributes where FCNB had removed fees
 - Addition of certain fee attributes for NSSC




HELP CENTRE AND LEARNING CENTRE UPDATES

Melanie Hurn


Senior Business Analyst, CSA IT Systems Office


HELP CENTRE UPDATES

 Help Centre

Help Topics ▾ | SEDAR+ | Learning Centre | Français


What can we help you with today?






FAQs

View our frequently asked questions



Guides and Resources


View filing and search quick guides and other resources




Register for a SEDAR+ account

View step-by-step instructions on how to gain filing access


BROWSE BY CATEGORY



General Help



User & Organization

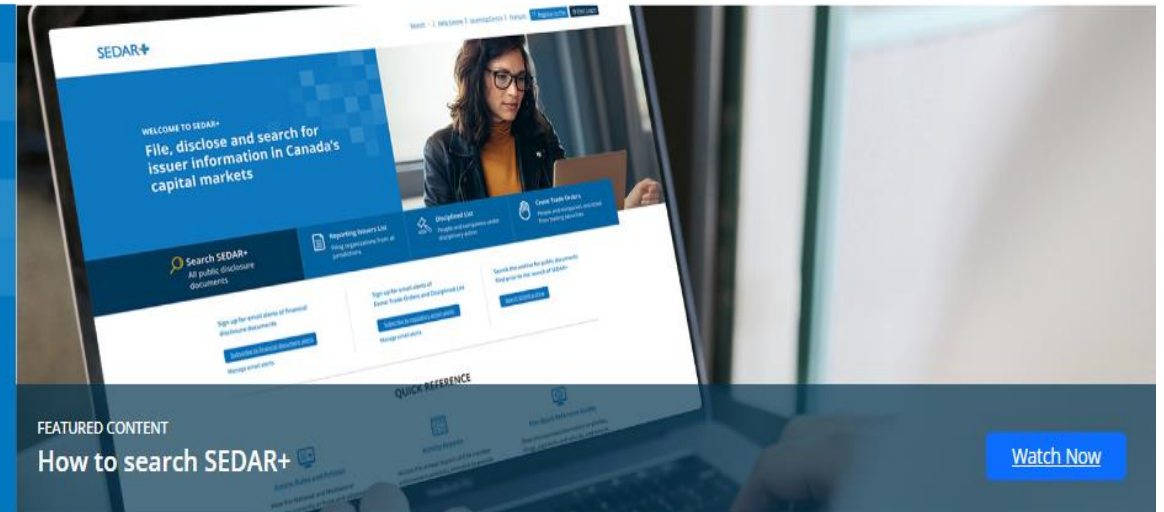


Profiles

LEARNING CENTRE UPDATES

GET TO KNOW SEDAR+

Learn SEDAR+ at your own pace with courses available to you 24 hours a day, seven days a week. Search and access a catalogue of course videos that have step-by-step instructions on how to use SEDAR+.



[SEDAR+ Quickstart](#)

Get started with essential courses on public search, filings and payments.



[Guides & Resources](#)

Find the tools and information you need to transition to SEDAR+.



[Course Catalogue](#)

Search the full catalogue of step-by-step on-demand course videos.



Search



Filing



Payments

New / revised courses in the SEDAR+ Basics section

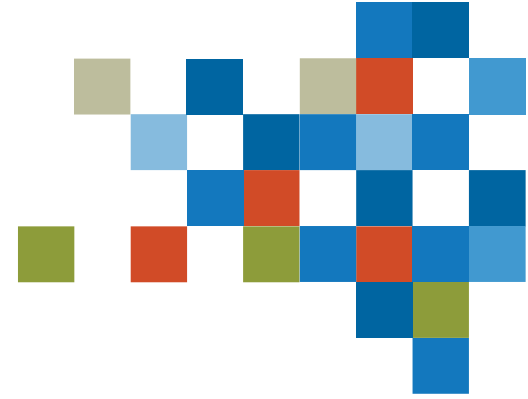
- Introduction to searches and subscriptions in SEDAR+
- Searching for Profiles
- Searching for Filings
- Subscribing for Financial Document Alerts
- Searching for CTOs and the DL and Subscribing for Alerts
- Searching the Reporting Issuers List



WHAT'S NEXT

- RED Filings
 - Updates to RED 45-106FI webform functionality
- Short form and simplified prospectuses
 - Filing performance tuning
- Additional search updates

- Targeted training to improve user support experience
- First phone call resolutions continue to improve
 - 91.06% in September and 92.4% in October
- Average wait times continued to decrease and was less than 150 seconds in November
- Email requests are responded to within one business day
- CSA Service Desk has returned to regular operating hours:
 - 7 a.m. to 11 p.m. ET Monday to Friday (excluding Canadian statutory holidays)



Q&A