



ONBOARDING INFORMATION SESSION Q&A SUMMARY

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Please note: This Q&A summary is from Information Sessions presented in English.

Information Session, Communications and Onboarding Process

Question(s)	Answer
<p>Will the webinars be recorded/available later for those who could not join?</p> <p>Will the session Q&A be provided?</p>	<p>The links to the webinar recordings and the Information Session Q&A summary will be sent to all Information Session participants via email. They will also be posted to a new events page in the SEDAR+ section of the CSA website in early February.</p> <p>We are hosting additional ‘deep dive’ webinars, which will focus on the specific onboarding requirements and scenarios for your type of organization. Registration links will be shared through the CSA Service Desk and on the SEDAR+ information site.</p>
<p>Was there additional information provided for the firms?</p> <p>Will the SharePoint submission link / bulk submission forms required for onboarding be provided?</p> <p>Would you have more information on the flat data file option available in addition to the fillable profile pdf option?</p>	<p>All organizations that have signed up for Onboarding should now have received access to their SharePoint submission folder. If you have signed up for onboarding, but have not received access, please contact us.</p> <p>If you haven’t done so already, please sign up for Onboarding ASAP.</p> <p>Instructions for use if the flat file (bulk submission) have been added to the SharePoint folders. If you have further questions, please contact us and members of our technical team will get in touch to provide flat file details and answer your questions.</p>
<p>Will we be notified if there is an error or problem with any of the agreements or information we submit during onboarding?</p>	<p>We will validate the information and will contact submitters if there are any errors or issues.</p>

Question(s)	Answer
<p>For an organization that currently has several primary contacts (SEDAR subscriber accounts) but will have one SEDAR+ account in the future, are multiple users able submit the issuer EFA and supplementary information in connection with onboarding?</p> <p>We have received our uploading link, however only one person is able to upload at a time. Our firm has multiple offices - are we able to provide access to this link to additional individuals in our other offices so that it is not a burden to the one user to upload?</p>	<p>During the Onboarding sign-up process, you can provide the names and contact information for multiple contacts. Each will have authority to access the organization’s SharePoint submission folder and submit files. To add additional people, complete our form.</p> <p>Please also review our FAQ: “Our organization has multiple SEDAR subscriptions, do all need to go through the Onboarding process?”</p>
<p>What is the timeline for processing/review of uploaded documents?</p>	<p>Organizations that complete Onboarding before the deadline on April 14, 2023, will have access to SEDAR+ when the system goes live on June 13, 2023.</p>
<p>What is the turnaround for a new third-party filer to be granted access to SEDAR+ after submitting an Electronic Filer Agreement once SEDAR+ is live?</p>	<p>Third-party filers or their agents must register to use SEDAR+ in the same way as issuers. They must execute the EFA and FAAF (if applicable) and submit it/them for validation prior to accessing the system to make a filing.</p> <p>The standard turnaround times for processing SEDAR+ agreements following the SEDAR+ go-live will be determined in the future, but in advance of go-live. There will be exceptions for urgent filings.</p>
<p>Once SEDAR+ is live, how long would it take for new EFA forms to be processed?</p> <p>What is the turnaround time for getting the legal documents approved?</p>	<p>The standard turnaround times for processing SEDAR+ following the SEDAR+ go-live will be determined in the future, but in advance of go-live.</p>
<p>How are we to submit the Legal Agreements for new SEDAR Issuers created between April 14, 2023 to June 13, 2023 when the submission window closes on April 14th?</p>	<p>New SEDAR filers or their agents should contact the SEDAR+ Transition Support Desk to inquire how to submit the documents and information required to be part of SEDAR+ Onboarding in the period between April 14 – June 13.</p>

Question(s)	Answer
Do you want 0's in front of the subscriber number if it is less than 5 digits?	It is not necessary to include the preceding '0s'.

Electronic Filing Agreement (EFA)

Question(s)	Answer
<p>Can agreements be signed through DocuSign?</p> <p>I note that the instructions say we need to submit a PDF document that is not a scan or image of printed pages. Does that mean we can't submit copies of the originally executed documents? If that is the case, what type of signature do you want on the documents?</p>	<p>Documents cannot be signed with DocuSign during the streamlined pre-launch onboarding process which automates the extraction of data from the fillable PDFs. The data in DocuSign files is locked and cannot be extracted.</p> <p>Similarly, PDF documents cannot be created by using the 'print to PDF' feature;</p> <p>The agreements must use one of the following:</p> <ul style="list-style-type: none"> • Fill and sign functionality that saves the agreement as a PDF • Insertion of a signature image, then save the agreement as a PDF
<p>Can you use a conformed signature for the legal documents?</p>	<p>We will accept any form of electronic signature that meets the technical requirements of the Onboarding process.</p>
<p>Who should/must sign the EFA and Filing Agent Authorization Form?</p>	<p>The SEDAR+ legal agreements must be signed by the authorized representative of the applicable electronic filer. You can find more information about the EFA/FAAF, as well as the agreements themselves, on the SEDAR+ information site.</p>
<p>The naming convention for the EFA and FAAF require us to include our agent subscriber number, is this our current SEDAR subscriber number, or will a new one be assigned when our filing agent (law firm) is onboarded to SEDAR+?</p>	<p>Please use your current SEDAR subscriber number in the naming convention when submitting your legal agreements. For information on how to find your current SEDAR subscriber number, please see further instructions.</p>

Question(s)	Answer
<p>Will an EFA form need to be completed for clients with third party filings as under the current SEDAR system we are not required to authorized for filings?</p>	<p>Third party filers must complete a FAAF for each filing agent they use, and may designate a filing agent to complete and submit an EFA on their behalf, or choose to submit an EFA directly.</p> <p>The validation process to gain access to SEDAR+ may present issues to those filers who must make time sensitive filings but have not previously received access to SEDAR+. Such filings will be subject to a process that is reserved for exceptional circumstances.</p>
<p>For some reason when I open my filled EFAs, the fields under point 1&2 of Part A are always blank. It's not getting saved. Can this be a browser issue?</p>	<p>Without knowing additional details, we acknowledge that may be possible. We recommend downloading the file and using Adobe Acrobat to edit and save (no subscription required).</p>
<p>We are a SEDAR filing agent completing EFA for an issuer. Under section 5 Contact information - is this supposed to be the Issuer or the filing agent's contact information?</p>	<p>If the EFA is being completed for an issuer, it should be the issuer's contact information.</p>

Filing Agent Authorization Form (FAAF)

Question(s)	Answer
<p>Can agreements be signed through DocuSign?</p>	<p>No, they cannot be signed with DocuSign during the streamlined pre-launch onboarding process, which automates the extraction of data from the fillable PDFs. The data in DocuSign files is locked and cannot be extracted.</p> <p>Similarly, PDF document cannot be created by using the ‘print to PDF’ feature;</p> <p>The agreements must use one of the following:</p> <ul style="list-style-type: none"> • Fill and sign functionality that saves the agreement as a PDF • Insertion of a signature image, then save the agreement as a PDF
<p>If an issuer has multiple agents, is it the primary agent’s job to submit all FAAF forms for each filing agent or can the non-primary agent submit the form on their own?</p>	<p>It is not the responsibility of the primary agent to submit FAAFs not relating to them.</p> <p>Each filing agent must submit signed FAAFs from their filer clients to have permission to make any filings on behalf of those filers.</p>
<p>For the FAAF, should the file naming convention be relevant to the filing agent or filer?</p>	<p>When submitting files on behalf of a filer, use your SEDAR subscriber number and their SEDAR profile number or investment fund manager NRD number in the file naming convention.</p>
<p>For the Filing Agent Authorization Form for investment funds, does one have to be signed by each investment issuer or can one be signed by the investment fund manager which is applicable for all the investment fund issuers it manages?</p>	<p>We do not require an EFA or an FAAF per fund but rather per fund manager. IFMs must execute the EFA and must designate filing agents through the FAAF.</p>

Question(s)	Answer
<p>One of our clients is completing the filing agent authorization form, they are asking for our system identifier. Where can we find that? Will we have it after we registered our firm under the Onboarding program?</p> <p>On the FAAF, where can we find the system identifier?</p>	<p>Your system identifier is your current SEDAR subscriber number. Please refer to this guide that explains how to find your subscriber number.</p>
<p>Is there a spot on the documents where we indicate who the primary agent is?</p>	<p>There is no place in the Onboarding forms to designate a ‘primary agent.’ We will deem the filing agent that submits an EFA and supplementary profile information on your behalf as the ‘primary agent.’ This is to avoid each of the issuer’s agents from submitting the same information.</p>

Filing Agents and Law Firms

Question(s)	Answer
<p>Do agents with multiple issuers have to submit EFA’s and FAAF’s for each client?</p> <p>If you are the filing agent for 100s of issuers, do you need an FAAF for each?</p>	<p>If the agent is the primary agent for a filer, they should deliver an EFA for that filer during the onboarding process. However, each client/issuer must complete and submit a FAAF to designate a filing agent to act on its behalf using SEDAR+.</p>
<p>Will we be provided with a list of issuers that we already have access to or will that be the responsibility of the filer to compile that list?</p>	<p>It is the responsibility of the electronic filer to compile that list.</p>
<p>As a filing agent, once we submit the required forms will it give a green light that the issuer has completed their form as well?</p>	<p>You obtain authority to file on behalf of a filer through the FAAF. Once you have submitted an FAAF for each client, you have authority to file for that client.</p>

Question(s)	Answer
<p>Is there automation to assist filers who have in excess of 20 issuer clients?</p>	<p>We have designed the onboarding process of using a SharePoint folder to collect your required documents and information to help streamline the process for high volume filers. In addition to the fillable pdf forms, you may use a flat file to submit supplementary information in bulk.</p>
<p>Does the filing agent need to complete the supplementary information portion of the onboarding process for each issuer even if the issuer has already submitted this?</p> <p>If an Issuer wants to file some SEDAR projects on their own, and have a filing agent for other SEDAR projects, who is onboarding the Supplementary information profiles, the Issuer or the filing agent?</p> <p>What occurs if you are the IFM filer and you also use a law firm for filing on occasion?</p> <p>Do secondary filing agents submit supplementary profile form for issuers?</p>	<p>If an issuer submits their own EFA and supplementary information form, these documents are not required from a filing agent. If the issuer also uses agents to make filings, they must sign FAAF's to grant authority to the agents to submit filings on the new system. The filing agents or the filers can deliver these forms as part of the onboarding process. Please note that co-ordination between the filer and filing agent may be required to complete the FAAF.</p>
<p>We are a law firm with multiple offices. Will all our offices now have one central SEDAR+ account? Each office currently has its own subscriber number.</p>	<p>Please review our FAQ: “Our organization has multiple SEDAR subscriptions, do all need to go through the Onboarding process?”</p>
<p>We are a filing agent. If an issuer designates us to be their primary agent, is an FAAF required for that issuer?</p>	<p>An FAAF is required for a 'primary agent' because it must be completed for each filing agent performing any activity on behalf of a filer in SEDAR+. The FAAF allows a filer to designate which activities a particular filing agent may perform on its behalf.</p>

Question(s)	Answer
<p>Does the primary agent have to submit all FAAF forms on behalf of all other agents?</p>	<p>Each filing agent must submit signed FAAFs from their filer clients to have authority to make any filings for those clients. An agent does not need to deliver FAAF's that pertain to other agents.</p>
<p>Is only one EFA document required per Filing Agent? And one Filing Agent Agreement per Issuer? And One Supplementary Information form per Issuer?</p>	<p>Only one EFA is required from each filing agent and filer.</p> <p>An issuer may use multiple filing agents thus may have multiple FAAFs. However, each filing agent should only submit FAAFs in which they are named.</p> <p>Only one supplementary information form per filer is required and should be delivered by the filer or the agent they designate as their 'primary agent.'</p>
<p>If we are a filing agent, and there are multiple people who do the filing for various issuers, does each person have to file an EFA for each issuer?</p> <p>For clarification, if the "agent" is a company with 5 staff that all file on behalf of the issuer, only one person as the agent needs to submit the EFA?</p> <p>I work for a law firm - am I the filing agent or is my law firm?</p> <p>As a law firm, will all individuals that complete filings for issuer clients have authorization to file for that issuer or just the individual filing agent?</p>	<p>An EFA is only required for the legal entity (organization), which covers the access and use of SEDAR+ for all users for that organization.</p> <p>An EFA is required from an individual only in those circumstances where a filer or an agent are individuals, not organizations.</p> <p>Only one EFA is required from the "agent" which is an organization. An EFA is not required from the 5 staff of the filing agent.</p> <p>The law firm is the filing agent, not the individual employed by the law firm. Organizations will be able to manage user groups to allow their users to appropriately access the issuer filings.</p>

Issuers

Question(s)	Answer
<p>Were all issuers currently on SEDAR sent an email with instructions that they must complete FAAF?</p> <p>Where are issuers going to receive notices to get setup for SEDAR+?</p>	<p>All issuers that have made a filing in the last five years should have received an email from the CSA Service Desk regarding SEDAR+ onboarding. If you didn't receive it, please contact SEDAR+ Support.</p>
<p>Do all issuers require an LEI as recommended by the onboarding help desk?</p>	<p>Issuers are not required to have an LEI. However, if they do have one, it must be included in the supplementary information forms.</p>
<p>What do you mean by "Corporate" Issuer vs "Investment Fund"?</p>	<p>A corporate issuer is an issuer other than an investment fund. In SEDAR+, such a filer is referred to as a 'company.'</p>
<p>Will third-party filers, those who filed early warning reports receive emails on SEDAR+ Onboarding?</p> <p>You have discussed getting authorization forms signed by issuers, how does this apply to "other filers" for items such as for early warning report filings.</p> <p>How about third-party filings? Do they need to submit an EFA and FAAF?</p>	<p>Agents should reach out to their clients who have filed on SEDAR with an "Other Filer" profile to make arrangements for onboarding. The "Other Filer" profile does not include an email address so we are unable to contact those filers directly.</p>
<p>Can you permit onboarding for a filer who designates a new filing agent after the April 14 deadline for onboarding?</p>	<p>Yes, although we may be limited in accepting such exceptions as we approach the launch date of SEDAR+, we will try to ensure continuity of access from legacy SEDAR to SEDAR+ for companies that engage new filing agents between April 14 and June 13.</p>
<p>For third-party filers specifically, do we need special permission from the reporting issuer to whom an Early Warning Report relates?</p>	<p>Agents will require an FAAF for the filer initiating the third-party filing but will not require authority over the associated reporting issuer on the filing.</p>

Question(s)	Answer
We will need to complete these forms with respect to what is currently referred to as "other filer"?	An EFA is required by all filers who must make filings on SEDAR+. An FAAF is required if a third-party filer designates a filing agent to make a filing on SEDAR+.

Investment Fund Managers

Question	Answer
Do we have to complete a form for every investment fund profile we currently have on SEDAR?	IFMs must execute the EFA and must designate filing agents through the FAAF's. These forms are not required per fund.
Where would we find the NRD number for investment fund managers, groups and profiles?	Please review our instructions on how to locate your NRD number .
Do we also need to complete the forms for NRD profiles?	<p>The forms are required for filers of current SEDAR. The SEDAR+ legal agreements are required for all entities that self-file in SEDAR+ or have filings made on their behalf.</p> <p>Investment Fund Managers whose funds must make filings on SEDAR+ must complete an EFA. If the IFM uses a filing agent for investment fund SEDAR+ filings, it will also need to complete a FAAF.</p> <p>NRD is not included in this phase of the SEDAR+ program, however registered entities will be identified by their NRD number on SEDAR+.</p>
Do we have to complete forms for funds that are no longer active?	Onboarding forms for inactive issuers are not required.
Can an IFM have one primary filer for SEDAR+ and another for NRD matters?	The IFM can designate separate filing agents for issuer and registration related submissions.

Question	Answer
<p>My Firm does Annual 45-106 report filing for 300-400 Investment Funds clients. We also do 10-day submissions for approximately 50 "Other" issuer clients. Please clarify: will we need to submit an EFA and an FAAF for each of our clients.</p>	<p>An EFA is required from each filing agent and filer, and an FAAF is required for each filing agent that is designated to make filings for a filer.</p>

Supplementary Information

Question	Answer
<p>Where can the form for supplementary information be found?</p>	<p>The supplementary information documentation was provided via email from the CSA Service Desk. You can also find the fillable PDFs, along with instructions, in your organization's SharePoint folder.</p>
<p>Are all fields in supplemental information mandatory? Business registration number, legal entity identifier, NAICS, and email address of Transfer Agent and Auditor prove to be difficult questions for issuers. Can the Supplementary Form be submitted to you without these fields filled in?</p> <p>On the supplementary information form, for those questions where it does not apply to the issuer (e.g.. the question about exchangeable security or credit support issuer), may we leave it blank?</p>	<p>Your SharePoint folder contains a Supplementary Information Instructions spreadsheet noting which fields are optional.</p> <p>Optional fields on the supplementary information form should only be left blank if it does not apply / the information does not exist. For example, LEI is an optional field, however all future registered users of SEDAR+ that have a LEI are expected to complete that field.</p>

Question	Answer
<p>Can you also provide more information on fields called "Active Relationship" and "Effective from date" on the supplementary information for company profile form?</p> <p>Confirm that the "Effective from date" would be the effective date of the most recent name change (and date of incorporation if the name has never changed).</p>	<p>'Active Relationship' means the agent has submitted filings against that issuer within the past 18 months.</p> <p>'Effective from date' refers to the date the issuer's legal name was effective.</p>
<p>Will the series and classes be included in the IFM profile to auto calculate fees, or is this included when submitting a prospectus?</p>	<p>Classes and series will be tracked at both the fund level and the fund group level. This is among the new data elements on SEDAR+, and represents quite a bit of work for those who have to submit on behalf of funds.</p> <p>Please keep in mind it is a one-time activity to make sure you set up classes and series properly. After that, it's a matter of maintaining those classes and series. In the past, regulators transcribed that information but with SEDAR+ that data will be available in the system. Once in the system, classes and series will be used to automatically calculate fees.</p>
<p>Can you please confirm if the "Business registration number or equivalent" is the incorporation business registration number or the CRA assigned business number.</p>	<p>The 'business registration number or equivalent' should be the corporation number.</p>
<p>What does 'Closing date of qualifying transaction' refer to on the Supplementary Form?</p>	<p>This is an optional field and only applies to a company that is a Capital Pool Company (CPC). If they are a CPC issuer, then this pertains to when they listed on the TSX or TSX-V.</p>

Question	Answer
<p>Exchangeable Security or Credit Support Issuer – does one of these have to be selected or will some companies leave this field blank?</p> <p>For the Supplementary Form, there is a field re: Exchangeable Security or Credit Support Issuer. If both those are not applicable, I don't think we should select either, but I've been told to just select one field and then can update on SEDAR+ at a later date?</p>	<p>If this does not apply to the issuer, then leave this field blank.</p>
<p>If there's more than one ticker for a fund issuer on the supplemental information form, I am unable to input multiple CUSIPs and ISINs as the form does not allow the room. I am also not able to input more than one legacy issuer number. Can we input this information manually in the form?</p>	<p>Only the root stock symbol for that filer should be entered (e.g., 'xyz' not 'xyz.ab')</p> <p>Similarly, only the six-digit root CUSIP should be provided and the root ISIN for the filer.</p> <p>A separate file should be created for each legacy filer number.</p>
<p>I could not find an instruction form for the CO similar to the one provided for the EFA/FAAF. Is there one available?</p>	<p>Your SharePoint folder contains a Supplementary Information_Instructions spreadsheet for your reference.</p>
<p>Is a NAICs code required information?</p>	<p>Yes, we require the filer's NAICS information.</p>
<p>Why do we need to provide filer supplementary information if this is on the current system?</p> <p>Can you provide a file with the filer's information pre-populated?</p>	<p>In many instances, the information in SEDAR is considered optional and uses free-form text fields resulting in lower quality data. In other cases, the new system requires data that is not in legacy SEDAR. The supplementary form data is included in this process to ensure higher quality data in SEDAR+ and improved access to information.</p> <p>No, all filer information must be provided to ensure high quality data that meets the standards of the new system.</p>
<p>What are the e-mail addresses being used for/how often re auditor, custodian etc.</p>	<p>The auditor and custodian email addresses are to be provided for public reference. A generic corporate email address may be used.</p>

Question	Answer
Must I list subsidiary companies to answer the question "Does the Company Operate under any other names?" in the Supplementary Information Form	Do not list subsidiaries; only enter other names used by the company e.g., a numbered company that also has a business name.
We have Limited Partnerships that are currently OTHER ISSUER profiles. If we change the onboarding type of forms from COMPANY to FUND PROFILE/FUND GROUP PROFILE will the historical Reports of Exempt Distribution follow into the new fund type of profile.	Report of exempt distribution filings will be associated with the SEDAR+ profile of the filer even if the type of profile has changed.

Users and Filing in SEDAR+

Question(s)	Answer
Will there be overlap time where you can still SEDAR file under old SEDAR as well as on SEDAR+?	SEDAR will be retired when SEDAR+ launches on June 13, 2023. Through the SEDAR installed application, filings from before June 13, 2023 will be available in a read-only state for a period after SEDAR+ launches.
Will the regulations be amended to provide for filing deadline times now that SEDAR+ will be available 24/7?	We are unaware of any such plans at this time however, each regulator can amend their filing deadlines as they deem appropriate.
Will weekend filings be posted on SEDAR+ right away or are they posted on the next business day?	Those filings that are immediately public will be posted right away. Other filings that are private upon submission will follow the jurisdictional processes.
Will the SEDAR secondary filer access feature currently in place be available on SEDAR+?	Yes, there will be a similar feature in SEDAR+ through which a filer may grant access to a filing if that additional electronic filer has authority to file on behalf of the filer.

Question(s)	Answer
Are we able to share a user account as we currently do on SEDAR?	No, each user will require their own user account.
Will filers continue to have access to filings made under the current SEDAR system (ex. a live shelf prospectus which remains current for 25 months)?	Current filings will be migrated to SEDAR+. If required, these filings can be accessed so long as an FAAF has been completed, providing the issuer's permission to grant the filing agent access to those filings.
Will our current PIN number will remain active?	No, SEDAR+ is a brand-new system with entirely new user administration. Each user will get new credentials.
Will we still be able to share projects with other users?	In SEDAR+, a filer may grant access to a filing if that additional electronic filer has authority to file on behalf of the filer.
Will we have access in SEDAR+ to the filings we have submitted in SEDAR?	The submission of your EFA and FAAF will grant you access over filings submitted on SEDAR for the applicable filers.
Will SEDAR+ reproduce the exact same filing categories and sub-categories as SEDAR? Or will the SEDAR filing categories and named types of documents change?	For the most part, the SEDAR filing categories and names have remained. SEDAR+ will also introduce some new filings, filing sub-categories and documents.
Does the ASU need to be a SEDAR filer/user?	No, they do not need to be a current SEDAR user.
Can a super user limit access of other users to only file unpayable projects?	Yes. The ASU will add users to the organization's PAD account for those users who can submit a filing with fees. All other users will only be able to submit a filing without fees.
<p data-bbox="147 1472 711 1562">Can we provide access to multiple people to assist with onboarding forms?</p> <p data-bbox="147 1682 711 1877">Can we designate more than one ASU?</p>	<p data-bbox="716 1472 1492 1583">Yes. As part of the Onboarding process, you may provide up to 10 users' email addresses so they may assist with uploading the onboarding details.</p> <p data-bbox="716 1661 1492 1877">We can only accept the information for one ASU as part of the onboarding process. You can create as many ASUs and other users as you need upon accessing SEDAR+.</p>

Question(s)	Answer
<p>What is the difference between an authorized super user (ASU) and primary agent?</p>	<p>An ASU is a user who will access SEDAR+ on behalf of an organization that intends to use SEDAR+ directly.</p> <p>A ‘primary agent’ is a filing agent who submits your EFA and supplemental forms on your behalf as part of onboarding.</p>
<p>Are the ASUs of a law firm able to add users 24/7 or will there be any time constraints during the day?</p>	<p>Yes, you can use any function of the system when the system is available, including the ability to add users.</p>
<p>We have situations where we get information from the client in the evening after markets close and have to disseminate the press release and file on SEDAR the following morning before markets open. This type of filing is the press release document under the early warning report category where the shareholder’s ownership changes triggering the filing of a press release and early warning report. We would have to create a SEDAR profile for the shareholder <u>immediately</u>, if they have not filed on SEDAR before.</p>	<p>We will have a process for allowing filings on an urgent basis.</p>

Fee Payment in SEDAR+

Question(s)	Answer
Will EDI - Electronic Banking Information - be transferred automatically?	No. EDI will not be used going forward. SEDAR+ will use Electronic Funds Transfer (EFT). You will be required to set up your PAD in SEDAR+ after go live in order to create payments.
Will each payment need to be initiated by the filer, or will a "PAD" be set up allowing the funds to be pulled automatically	A Pre-Authorized Debit (PAD) form must be completed in order to pay fees. When the filing is submitted, funds will be withdrawn using EFT and remitted to the regulators. Alternatively, credit card payments may be made for Reports of exempt distribution filings.
Is EFT and email transfer of funds the same?	No, Electronic Funds Transfer will require filers to submit a pre-authorized debit form so that fees can be automatically withdrawn from a bank account.
<p data-bbox="155 1136 701 1199">When do we need to set up EFT? Is there a timeline?</p> <p data-bbox="155 1293 701 1398">Is there a form required to be completed by our accounting department for the Electronic Funds Transfer being used to pay the fees?</p>	EFT can be set up once SEDAR+ is live. Electronic filers will be required to complete and submit a pre-authorized debit form to pay fees. A pre-authorized debit form must be completed prior to the submission of your first filing that incurs a fee.
Do we need to have a limit on the EFT? In other words, contact bank to increase limit?	You are responsible to ensure any limits on your bank account do not limit your ability to pay fees.
Is the fee linked to the filer's account or the Issuer's account?	The fee is payable from the bank account of the organization that submits the filing, for example, if a filing agent submits a filing, the filing agent's bank account is used for payment. The payment is attributed to the issuer that made the filing.

Question(s)	Answer
Under the current system we are exempted from paying certain fees by submitting a cover letter explaining the reason. In the new SEDAR+ will filings be accepted without fees or must fee payments be made and we will have to ask for a refund?	A fee exception code must be requested in advance of submitting the filing from each regulator that requires a fee for the filing. This code must then be entered on the filing for the fee(s) to not be withdrawn on submission.
Must Report of Exempt Distribution filings ONLY be paid via credit card?	No, RED filing fees can be paid by EFT, Visa or MasterCard.
Will there be a two-step authentication for fee payment?	No, payments will be processed upon submission of the filing.
How far in advance will filing fees need to be sent prior to filing on SEDAR +?	Payments will be withdrawn from the designated bank account at the time of filing.
If we currently have the EDI bank account for SEDAR filings, do we change the bank account and fill out a PAD?	EDI will no longer be available in SEDAR+. Electronic filers must complete the pre-authorized debit form once the system is operational to use the EFT payment function.

SEDAR+ Technical Requirements

Question(s)	Answer
Is SEDAR+ accessible on any operating system and any browser?	SEDAR+ is a web-based system and will be available through any mainstream browser.

SEDAR+ Training

Question(s)	Answer
Will the training sessions and webinars be eligible for CLE credits?	We have not designed them with that in mind.

SEDAR

Question(s)	Answer
What, if anything, does our IT department have to do to prepare to use this web-based program?	Please see our FAQ: “What does my IT department or provider need to know about the SEDAR+ Transition?”
What is meant by SEDAR legacy subscriber?	We are referring to organizations who currently subscribe to our legacy system, SEDAR, to make issuer filings. Such organizations are identified by a subscriber number.
Do we know if the fee calculator will continue to be available after SEDAR+ launch?	The fee calculator (Fee Guide) will be available on the CSA website for a period of time after SEDAR+ is launched.