

October 13, 2009

## **Release 2.0 implemented on NRD to reflect changes to the new Registration Regime**

NRD RELEASE 2.0:

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Following a two-week freeze period for firm filers, Release 2.0 has been implemented on the National Registration Database (NRD) to support the adoption of new and amended rules, forms and policies relating to the information obtained from firms and individuals who register under securities and/or commodities legislation. The changes reflect the requirements of the revised National Instrument 33-109 *Registration Information*, its companion policy and forms, as well as the amended National Instrument 31-102 *National Registration Database* and its companion policy. The NRD now also supports the National Policy 11-204 *Process for Registration in Multiple Jurisdictions*, Multilateral Instrument 11-102 *Passport System* and its companion policy, as well as changes to accommodate IIROC Dealer Member Rule Amendments related to the Registration Reform Project.

NRD allows the electronic completion and submission of the following NI 33-109 forms:

1. Form 33-109F1 *Notice of Termination for Registered Individuals and Permitted Individuals*
2. Form 33-109F2 *Change or Surrender of Individual Categories*
3. Form 33-109F3 *Business Locations other than Head Office*
4. Form 33-109F4 *Registration of Individuals and Review of Permitted Individuals*
5. Form 33-109F5 *Change of Registration Information (for individual information only)*
6. Form 33-109F7 *Reinstatement of Registered Individuals and Permitted Individuals*

NRD User Guide - the NRD User Guide is being updated and modified. The NRD User Guide will be comprised of multiple reference guides which, in totality, will describe the use and function of the NRD. Each reference guide explains the use of one submission type or other aspect of functionality of the NRD. The NRD User Guide can be found on the [NRD-info](#) site.